	Case '	2:15-cv-00121-wks Document 46-6	Filed 12	/23/16 P	ane 10 i	of 235
	ouse 1	OR US-4449731-\$.DID. OR US-4451059- \$.DID. OR US-4466634-\$.DID. OR US- 4475743-\$.DID. OR US-4489956-\$.DID. OR US-4553772-\$.DID. OR US-4602804- \$.DID. OR US-4625991-\$.DID. OR US- 4753452-\$.DID. OR US-4758017-\$.DID. OR US-5160159-\$.DID. OR US-7887084- \$.DID.		/20/10 T	age 10	01 200
S36	47	("20020101063"   "2676813"   "3578350"   "3606370"   "3610650"   "3620545"   "3695625"   "3727932"   "3734520"   "3734522"   "3734522"   "3734522"   "3734522"   "3865388"   "3897076"   "3902728"   "3905613"   "3909029"   "3936062"   "3992032"   "4052086"   "4065151"   "4070034"   "4111453"   "4183549"   "4264088"   "42668064"   "4286801"   "4288095"   "4294461"   "4288094"   "4428896"   "4444413"   "4449731"   "4451059"   "4466634"   "4475743"   "4489956"   "4553772"   "4602804"   "4625991"   "4753452"   "4758017"   "5160159").PN. OR ("7887084").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2011/06/19 13:48
\$37	160	("3129951"   "3317217"   "3325178"   "3326567"   "3351354"   "3366394"   "3408087"   "3432180"   "3473817"   "3497230"   "3527468"   "3529845"   "3529846"   "3550996"   "3554571"   "3554572"   "3578350"   "3580597"   "3594015"   "3604720"   "3606369"   "3608918"   "3610650"   "3620544"   "3620545"   "3625532"   "3675937"   "3727935"   "373082"   "3734520"   "3762735"   "3778073"   "3820804"   "3830510"   "3836163"   "3876219"   "3990023"   "3933363"   "3937480"   "39944237"   "3950001"   "3989273"   "3989274"   "4022493"   "4033603"   "4060257"   "4103930"   "4111453"   "4165883"   "4183549"   "4188045"   "4190264"   "4214773"   "4307895"   "4421341"   "4444413"   "4489956"   "4533155"   "4607859"   "4624473"   "4625991"   "4681338"   "4773669"   "5024457"   "RE26670").PN. OR ("3578350"   "3620545"   "3695625"   "3734520"   "4111453"   "4183549"   "4489956"   "4466634"   "4475743"   "4489956"   "4602804"   "4625991"   "4758017"   "5160159").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2011/06/19 14:01
S38	1	"12984293"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/06/12 13:08
S39	1322	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2012/06/12 14:16

	Case	2:15-cv-00121-wks Document 46-6	Eiled 12	/23/16 E	age 20	of 235
S40	367	S39 and ((horizontal or lateral) with release)	USPAT	OR -	OFF	2012/06/12 14:16
S41	245	S39 and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:16
S42	189	S39 and heel and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:17
S43	2	"20030107352"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/06/14 11:20
S44	1336	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2013/03/25 13:08
S45	555	S44 and ((lateral or side) with (pressure or force))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
S46	418	S45 and heel	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
S47	398	S45 and heel and (boot or footwear)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:10
S48	356	S45 and heel and (boot or footwear) and (release or releasing)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:11
S49	292	S45 and heel and (boot or footwear) and ((side or lateral) same (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:14
S50	241	S45 and heel and (boot or footwear) and ((side or lateral) with (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:14
S51	67	S45 and heel and (boot or footwear) and ((side or lateral) with (release or	US-PGPUB; USPAT;	OR	ON	2013/03/25 13:22

y	Casa	2:15 ov 00121 wks. Dooumont 46 6	Ellod 12	/22/16 D	200 21	of 225
	Case	2:15-cv-00121-wks Document 46-6 releasing) with (limit or limited or predetermined))	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	/23/10 P	age 21	VI 233
S52	6	"12001436"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/02/03 13:53
S53	2	("7887084").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/03 13:55
S54	43	"280"/\$.ccls. and (ski and "heel assembly")	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/02/06 12:20
S55	38	(US-5160159-\$ or US-3620545-\$ or US-3578350-\$ or US-3695625-\$ or US-3734520-\$ or US-4111453-\$ or US-4183549-\$ or US-4266806-\$ or US-4444413-\$ or US-4449731-\$ or US-4451059-\$ or US-4466634-\$ or US-4475743-\$ or US-4466634-\$ or US-4602804-\$ or US-4625991-\$ or US-4758017-\$ or US-4165883-\$ or US-3687470-\$ or US-3773344-\$ or US-3920256-\$ or US-4142735-\$ or US-4145071-\$ or US-4340242-\$ or US-4360219-\$ or US-4395055-\$).did. or (US-4478426-\$ or US-4624473-\$ or US-4624474-\$ or US-4624473-\$ or US-4624474-\$ or US-4624022-\$ or US-4858946-\$ or US-3992032-\$ or US-3572738-\$ or US-3992032-\$ or US-4505494-\$ or US-4533156-\$).did.	USPAT	OR	OFF	2014/09/27 23:31
S56	66	(US-5160159-\$ or US-3620545-\$ or US-3578350-\$ or US-3695625-\$ or US-3734520-\$ or US-4111453-\$ or US-4183549-\$ or US-4266806-\$ or US-4444413-\$ or US-44466634-\$ or US-4451059-\$ or US-4466634-\$ or US-4475743-\$ or US-4466634-\$ or US-462804-\$ or US-4625991-\$ or US-4758017-\$ or US-4165883-\$ or US-3687470-\$ or US-3773344-\$ or US-3920256-\$ or US-4142735-\$ or US-4145071-\$ or US-4340242-\$ or US-4360219-\$ or US-4395055-\$).did. or (US-478426-\$ or US-4624473-\$ or US-4624474-\$ or US-4624473-\$ or US-4624474-\$ or US-4624473-\$ or US-4624474-\$ or US-4624202-\$ or US-4858946-\$ or US-5199736-\$ or US-	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/09/27 23:32

	Cooo í	2:15 av 00121 wks Decument 46 6	Filed 10	122/16 D	000 22	of OOE
	Case A	2:15-cv-00121-wks Document 46-6 3572738-\$ or US-3992032-\$ or US- 4505494-\$ or US-4533156-\$).did.	Filed 12	/23/10 P	age 22	01 235
S57	38	S56 AND ( (A63C9/0846 OR A63C9/0842 OR A63C9/0847 OR A63C9/0805 OR A63C9/0805 OR A63C9/085 OR A63C9/085 OR A63C9/085 OR A63C9/085 OR A63C9/085 OR A63C9/085 OR A63C9/08542 OR A63C9/0855 OR A63C9/08571 OR A63C9/08578 OR A63C9/08521 OR A63C9/08578 OR A63C9/08535 OR A63C9/08585 OR A63C9/08535 OR A63C9/08585 OR A63C9/0858 OR A63C9/0858 OR A63C9/0858 OR A63C9/0858 OR A63C9/085 OR A63C9	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2014/09/27 23:33
S58	14661	(A63C9/0846 OR A63C9/0842 OR A63C9/0847 OR A63C9/0805 OR A63C9/0805 OR A63C9/0805 OR A63C9/0806 OR A63C9/0806 OR A63C9/08504 OR A63C9/08504 OR A63C9/08504 OR A63C9/08504 OR A63C9/0855 OR A63C9/08571 OR A63C9/08578 OR A63C9/08521 OR A63C7/1013 OR A63C9/08535 OR A63C9/08585 OR A63C9/08597 OR A63C9/08585 OR A63C9/08585 OR A63C9/08507 OR A63C9/08585 OR A63C9/08507 OR A63C9/08585 OR A63C9/08585 OR A63C9/08507 OR A63C9/08585 OR A63C9/08507 OR A63C9/08585 OR A63C9/08507 OR A63C9/08528 OR Y10S280/12).CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/09/27 23:35
S59	773	S58 and heel and (boot or footwear) and ((side or lateral) with (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/09/27 23:37
<b>\$60</b>	14661	(A63C9/0846 OR A63C9/0842 OR A63C9/0847 OR A63C9/0805 OR A63C9/0805 OR A63C9/0805 OR A63C9/086 OR A63C9/086 OR A63C9/086 OR A63C9/08504 OR A63C9/08542 OR A63C9/0855 OR A63C9/08542 OR A63C9/085 OR A63C9/08571 OR A63C9/08578 OR A63C9/08521 OR A63C9/08578 OR A63C9/08535 OR A63C9/08585 OR A63C9/08535 OR A63C9/08585 OR A63C9/08585 OR A63C9/0850 OR A63C9/085 O	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/09/28 15:14
S61	508	S60 and heel and (boot or footwear) and (heel same ((side or lateral) with (release or releasing)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	ON	2014/09/28 15:14

EAST Search History

	Case 2	2:15-cv-00121-wks Document 46-6	Filed 12 DERWENT; IBM_TDB	/23/16 P	age 23	of 235
S62	447	S61 and (force or pressure or impact)	US-PGPUB: USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/09/28 15:15

9/ 28/ 2014 10:22:23 PM C:\ Users\ bavery\ Documents\ EAST\ Workspaces\ 12984293.wsp

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 24 of 235

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12984293	HOWELL, RICHARD J.
	Examiner	Art Unit
	BRIDGET AVERY	3618

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Date	Examiner

CPC COMBINATION SETS - SEAR	CHED	
Symbol	Date	Examiner
A63C9/0846 OR A63C9/0842 OR A63C9/0847 OR A63C9/0805 OR A63C9/005 OR A63C9/0845 OR A63C9/086 OR A63C9/081 OR A63C9/08564 OR A63C9/001 OR A63C9/0844 OR A63C9/08542 OR A63C9/0855 OR A63C9/0848 OR A63C9/	9/27/14	ВА
A63C9/08578 OR A63C9/08521 OR A63C7/1013 OR A63C9/08 OR A63C9/0807 OR A63C9/08535 OR A63C9/08585 OR A63C7/1093 OR A63C9/003 OR A63C9/0802 OR A63C9/082 OR A63C9/0841 OR A63C9/085 OR A63C9/08507 OR A63C	9/27/2014	ВА

US CLASSIFICATION SEARCHED				
Class	Subclass	Date	Examiner	
280	618, 624, 625, 626, 627, 628, 62, 632, 634	6/6/11	BA	
search	updated	6/25/12	BA	
search	updated	3/25/13	BA	
search	updated	2/5/14	BA	
search	updated	9/27/14	BA	

Date	Examiner
	Date

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
see	EAST search	9/27/14	BA

Part of Paper No.: 20140927

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# Application/Control No. 12984293 Examiner BRIDGET AVERY Applicant(s)/Patent Under Reexamination HOWELL, RICHARD J. Art Unit 3618

CPC				
Symbol	Statestales 1	2000	Туре	Version
A63C	9	7 0844	F	2013-01-01
A63C	9	7 001	Ī	2013-01-01
A63C	9	7 0845	1	2013-01-01
A63C	9	7 0846	l l	2013-01-01
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CPC Combination Sets					
Symbol		Туре	Set	Ranking	Version
	1				
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/BRIDGET AVERY/ Examiner.Art Unit 3618	9/27/14	Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	\$	9
/J. ALLEN SHRIVER II/ Supervisory Patent Examiner.Art Unit 3618	09/29/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	2

U.S. Patent and Trademark Office
Part of Paper No. 20140927

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12984293	HOWELL, RICHARD J.
	Examiner	Art Unit
	BRIDGET AVERY	3618

	US OR	IGINAL CI	ASSIFICA	ATION		INTERNATIONAL CLASSIFICATION						ON			
	CLASS			SUBCLASS		$\vdash$	CLAIMED				N	NON-CLAIMED			
280			628			Α	6	3	С	9 / 00 (2012.01.01)					
	CF	OSS REF	ERENCE(	S)		$\vdash$					$\vdash$				
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/BRIDGET AVERY/ Examiner.Art Unit 3618	9/27/14	Total Clain	ns Allowed:
(Assistant Examiner)	(Date)		,
/J. ALLEN SHRIVER II/ Supervisory Patent Examiner.Art Unit 3618	09/29/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	2

U.S. Patent and Trademark Office

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 27 of 235

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12984293	HOWELL, RICHARD J.
	Examiner	Art Unit
	BRIDGET AVERY	3618

$\boxtimes$	Claims re	numbere	d in the s	ame orde	r as prese	ented by a	pplicant		СР	A [	] T.D.	[	R.1.4	47	
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/BRIDGET AVERY/ Examiner.Art Unit 3618	9/27/14	Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	*	,
/J. ALLEN SHRIVER II/ Supervisory Patent Examiner.Art Unit 3618	09/29/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	2

U.S. Patent and Trademark Office

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 28 of 235 PTO/SB/30EFS (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web) Docket Number Application Filing Art 2011-01-04 12984293 2003127.00122US3 3618 (if applicable) Number Unit Date First Named Examiner Richard J. HOWELL B. D. Avery Inventor Name This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV SUBMISSION REQUIRED UNDER 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Brief or Reply Brief previously filed on Other **X** Enclosed X Amendment/Reply Information Disclosure Statement (IDS) Affidavit(s)/ Declaration(s) X Other Petition for a One-Month Extension of Time and appropriate fee **MISCELLANEOUS** Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) Other **FEES** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Patent Practitioner Signature **Applicant Signature** 

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 29 of 235 PTO/SB/30EFS (07-09) Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0851-0031

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	Signature of Registered U.S. Patent Practitioner								
Signature	/Donald R Steinberg/	Date (YYYY-MM-DD)	2014-06-24						
Name	Donald R. Steinberg	Registration Number	37241						

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent A	pp	olication Fee	Transm	ittal		
Application Number:	129	984293				
Filing Date:	04-	Jan-2011				
Title of Invention:	ALPINE SKI BINDING HEEL UNIT					
First Named Inventor/Applicant Name:	Ric	hard J. Howell				
Filer:	Donald R. Steinberg/Janice Roussel					
Attorney Docket Number:	200	03127.00122US3				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 1 month with \$0 paid		2251	1	100	100	

Case 2:15-cv-00121-wks Docum Description	Fee Code	Quantity	Page 32 of 28 Amount	Sub-Total ir USD(\$)
Aiscellaneous:	·		-	
RCE - 2nd and Subsequent Request	2820	1	850	850

Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 33 of 235  Electronic Acknowledgement Receipt					
EFS ID:	19388416				
Application Number:	12984293				
International Application Number:					
Confirmation Number:	2029				
Title of Invention:	ALPINE SKI BINDING HEEL UNIT				
First Named Inventor/Applicant Name:	Richard J. Howell				
Customer Number:	23483				
Filer:	Donald R. Steinberg/Janice Roussel				
Filer Authorized By:	Donald R. Steinberg				
Attorney Docket Number:	2003127.00122US3				
Receipt Date:	24-JUN-2014				
Filing Date:	04-JAN-2011				
Time Stamp:	10:42:24				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
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RAM confirmation Number	8976
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		2014_06_24_122US3_Amendm ent_US_Pat_Appln_No_129842	150649	yes	7
			7e1d92fb39bd57478ce81f992cfc53b5fd0b f227	<b>2</b> , 5, 5, 6	, and the second
	Multip	oart Description/PDF files in .:	zip description		
	Document De	Start	E	nd	
	Amendment Submitted/Entere	ed with Filing of CPA/RCE	1	7	1
	Claims 2				3
	Applicant Arguments/Remarks	Made in an Amendment	4	į.	7
Warnings:					
Information:					
2	Extension of Time	2014_06_24_122US3_EOT_US_	78047	no	1
	######################################	Pat_Appln_No_12984293.PDF	fa2474c47089227bebb7615a0092ea57b28 b246e	28.50.90	
Warnings:					
Information:					
3	Request for Continued Examination	2014_06_24_122US2_RCE_US_	697772	no	3
	(RCE)	Pat_Appln_No_12984293.PDF	8b82a1e2fc7f90457e0c858869d17e620bf6 59f0	A. A. C.	
Warnings:			*		
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	32181	no	2
		100 11157	32c551ef4da7397f0987fdd0aa3f48a79ce98 100	.,1850	<del>77</del> 23
Warnings:					
Information:					
		Total Files Size (in bytes):	95	8649	

#### Case 2:15-cv-00121-wks | Document 46-6 | Filed 12/23/16 | Page 35 of 235

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Docket No.: 2003127.00122US3

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard J. HOWELL Confirmation No.: 2029

Application No.: 12/984,293 Art Unit: 3618

Filed: January 4, 2011 Examiner: B. D. Avery

Title: ALPINE SKI BINDING HEEL UNIT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### AMENDMENT AFTER FINAL ACTION UNDER 37 C.F.R. 1.116

Dear Madam:

#### INTRODUCTORY COMMENTS

In response to the Office Action dated March 4, 2014 finally rejecting claims 1-9, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

A Request for Continued Examination and appropriate fee, as well as a request for a onemonth extension of time and appropriate fee, are enclosed herewith.

ActiveUS 126114704v.1

#### AMENDMENTS TO THE CLAIMS

1. (Currently Amended) A vector decoupling assembly for separating and isolating two or more force vectors applied to a safety binding securing a heel portion of a ski boot to a ski, comprising:

a lower heel assembly attached to the ski;

an upper heel assembly coupled to the lower heel assembly and having a lateral release assembly for applying lateral securing pressure to the ski boot, the upper heel assembly comprising an upper heel housing that is configured to compress the heel portion of the ski boot downward;

a linkage element fixedly attached to the lateral release assembly; wherein the linkage element, a first surface and a second surface cooperate to limit motion of the lateral release assembly to within a predetermined region within a plane defined by the longitudinal and horizontal axes of the ski.

- 2. (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface are substantially parallel to one another.
- 3. (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface cooperate to limit motion of the linkage element to the longitudinal and horizontal plane of the ski.
- 4. (Previously presented) The vector decoupling assembly of claim 1, wherein the lateral release assembly is maintained in a predetermined neutral position in the absence of force vectors applied to the vector decoupling assembly.
- (Previously presented) The vector decoupling assembly of claim 4, wherein the lateral release assembly moves in both a first direction and a second direction with respect to the neutral position.
- 6. (Previously presented) The vector decoupling assembly of claim 5, wherein the motion of the lateral release assembly is at least partially rotational.
- 7. (Previously presented) The vector decoupling assembly of claim 5, wherein a force required

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Application No. 12/984,293

Amendment dated June 24, 2014

After Final Office Action of March 4, 2014

to move the lateral release assembly increases as the lateral release assembly moves away from the

neutral position.

8. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is linear.

9. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is non-linear.

10. (Withdrawn) The vector decoupling assembly of claim 4, wherein the lateral release

assembly moves only in a first direction with respect to the neutral position.

11. (Withdrawn) The vector decoupling assembly of claim 10, wherein the motion of the lateral

release assembly is at least partially rotational.

12. (Withdrawn) The vector decoupling assembly of claim 10, wherein a force required to move

the lateral release assembly increases as the lateral release assembly moves away from the neutral

position.

13. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a

position of the lateral release assembly with respect to the neutral position and the force required to

move the lateral release assembly is linear.

14. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a

position of the lateral release assembly with respect to the neutral position and the force required to

move the lateral release assembly is non-linear.

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#### REMARKS

Claims 1-14 are pending. Claims 10-14 have been withdrawn. Claims 1-9 have been rejected under 35 USC §102. Claim 1 has been amended, and support for the amendment can be found in at least paragraph 37 of the Application. No new subject matter has been added.

#### Rejections Under 35 U.S.C. §102

Claims 1-9 are rejected under 35 U.S.C. §102(b) as being anticipated by U.S. Patent 4,505,494 to Gertsch. Applicant respectfully traverses these rejections for at least the following reasons.

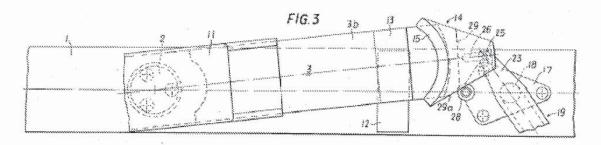
In Applicant's previous response filed October 8, 2013, Applicant explained that the lower heel assembly in the currently pending claims cannot correspond to element 17 of Gertsch as element 17 is actually a base plate for a lateral release means associated with the *toe ball portion* of a ski boot, rather than the heel portion of a ski boot. Office Action Response dated 10/8/13, p. 5. Furthermore, the upper heel assembly in the currently pending claims cannot correspond to the sole hold-down member 15 of Gertsch for the same reason: element 15 is used to hold down a *toe* portion of a ski boot, and not the heel portion. *See id.* at pp. 5-6. However, in the Office Action dated March 4, 2014, the Examiner once again rejected the claims over Gertsch. In particular, in the Response to Arguments section of the Office Action, the Examiner pointed Applicant's attention to column 6, lines 59-68 and column 7, line 1 of Gertsch as allegedly showing that Gertsch's lower heel assembly 17 and the lateral release means 16 are associated with the heel portion of a ski boot.

Applicant respectfully disagrees that the cited portions of Gertsch are associated with the heel portion of a ski boot. However, to expedite prosecution, Applicant has amended claim 1 to further clarify that the claimed upper heel assembly is for engaging with the heel portion of a ski boot. In particular, claim 1 now requires that the upper heel assembly comprise "an upper heel housing that is configured to compress a heel portion of a ski boot downward." Applicant submits that the cited portions of Gertsch do not disclose the upper heel assembly as currently claimed.

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Docket No.: 2003127.00122US3

The current Office Action points to pin 18 of Gertsch as disclosing the claimed upper heel assembly, and to base plate 17 of Gertsch as disclosing the claimed lower heel assembly. Office Action dated 3/4/14, p. 2. However, both of these components are associated with toe retainer means 14, as illustrated in FIG. 3.



While toe retainer 14 comprises a sole hold-down member 15, this member is only used to hold down a toe portion of a ski boot, as can be seen in Figure 3 and in the following passage from Gertsch:

Portion 3b forms a foot plate for the *toe ball portion of a ski boot* and it rests on a rest means which is in the form of a strip 12 with good sliding properties disposed on the upper surface of the ski . . . . At the forward end of portion 3b a toe retainer means 14 is fixedly mounted which overlaps the ski boot sole with a sole hold-down member 15. The toe retainer means 14 is coupled with a lateral release means 15 mounted on a base plate 17 provided on the ski.

Gertsch, col. 6, ll. 5-15 (emphasis added).

Therefore neither sole hold-down member 15 nor any cited component of Gertsch can correspond to the claimed "upper heel housing that is configured to compress a *heel* portion of a ski boot downward."

Furthermore, the portions of Gertsch that Examiner points to in the Response to Arguments section does not disclose the claimed "upper heel housing." Column 6, lines 59-68 and column 7, line 1 of Gertsch merely disclose that when control cam 29 disengages from the abutment roller 28,

the portion 3b with the toe retainer 14 gets free and moves away from the heel retainer 5 in the longitudinal direction of the plate. Through the movement of the toe retainer 14 away from the heel retainer 5 the ski boot is released. None of this disclosure relates to an "upper heel housing that is configured to compress a heel portion of a ski boot downward."

For at least the reasons set forth above, Applicant submits that claim 1 is patentable over Gertsch. Claims 2-9 depend from claim 1 and incorporates its limitations, and are therefore patentable for at least the same reasons.

#### **CONCLUSION**

In view of the above amendment, applicant believes the pending application is in condition for allowance.

Applicant is submitting herewith the required fee for a Request for Continued Examination, as well as the required fee for a one month extension of time, and believes no other fee is due. However, please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 08-0219, under Order No. 2003127.00122US3, and please credit any excess fees to the same deposit account.

Respectfully submitted,

Dated: June 24, 2014

/Donald R Steinberg/ Donald R. Steinberg Registration No.: 37,241 Attorney for Applicant(s)

Wilmer Cutler Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6000 (telephone) (617) 526-5000 (facsimile)

# 

PTO/SB/22 (03-13)
Approved for use through 03/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PETITION FOR EXTENSION OF TIME U	Docket Number (Optional) 2003127.00122US3							
A P. C. N. J.	Application Number 12/984,293-Conf. #2029							
For 12/984,2	29	Filed	January 4, 2011					
ALPINE SKI BINDING HEEL UNIT								
Art Unit 3618			Examiner	B. D. Avery				
This is a request under the provisions of 37 CF	R 1.136(a) to e	extend the period	I for filing a reply in th	e above-identified application.				
The requested extension and fee are as follow	The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):							
20	Fee	Small Entity	Fee Micro Entity	Fee				
X One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$ 100.00				
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$				
Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$				
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$				
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$				
X Applicant asserts small entity status.	See 37 CFR 1	.27.						
Applicant certifies micro entity status.  Form PTO/SB/15A or B or equivalent must eith			ed previously.					
A check in the amount of the fee is en	closed.		7 25					
X Payment by credit card. Form PTO-2	038 is attache	<del>d</del> .						
The Director has already been author	ized to charge	fees in this appl	ication to a Deposit	Account.				
X The Director is hereby authorized to c Deposit Account Number 08	harge any fee: -0219	s which may be	required, or credit ar	ny overpayment, to				
X Payment made via EFS-Web.								
WARNING: Information on this form may becom card information and authorization on PTO-2038		card information	should not be includ	led on this form. Provide credit				
I am the								
applicant/inventor.								
assignee of record of the entire in	terest. See 37	CFR 3.71. 37 CF	R 3.73(b) statement	s enclosed (Form PTO/SB/96)				
x attorney or agent of record. Reg	istration numb	er37,24	41					
attorney or agent acting under 37	' CFR 1.34. Re	egistration numb	er					
/Donald R Steinb	erg/		Jun	e 24, 2014				
Signature	<u> </u>	nii	\$	Date				
	Donald R. Steinberg (617) 526-6000							
Typed or printed name Telephone Number								
NOTE: This form must be signed in accordance multiple forms if more than one signature is requ			.4 for signature require	ments and certifications. Submit				
*Total of1 form	ns are submitt	ed.						

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 44 of 235

PTO/SB/06 (09-11)

Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	ATENT APPL		E DET	ERMINATION	The second secon	Application	n or Docket Number 1/984,293	Filing Date 01/04/2011	To be Mailed
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ı					ATION AS FILE	D – PAR	TI		
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L	FOR NUMBER FILED NUMBER EXTRA					_	RATE (\$)	FEE (\$)	
ᆜ	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A	_	N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Ш	MULTIPLE DEPEN			7.96.75				+	
*	the difference in colu	ımn 1 is less thar	zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		APPLICAT (Column 2)	(Column 3)	DED – PA	ART II		
LN	06/24/2014	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	RA	RATE (\$)	ADDITIO	ONAL FEE (\$)
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 45 of 235

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
12/984,293 01/04/2011		Richard J. Howell	2003127.00122US3	2029				
23483 WII MERHAI			EXAMINER					
60 STATE STREET			AVERY, BRIDGET D					
BOSTON, MA	02109		ART UNIT	PAPER NUMBER				
			3618					
				X				
			NOTIFICATION DATE	DELIVERY MODE				
			03/04/2014	ELECTRONIC				

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

teresa.carvalho@wilmerhale.com whipusptopairs@wilmerhale.com

PTOL-90A (Rev. 04/07)

Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 46 of 235 Application No. 12/984,293 HOWELL, RICHARD J.							
Office Action Summary	Examiner BRIDGET AVERY	Art Unit 3618	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the o	corresponder	nce address				
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	16(a). In no event, however, may a reply be tir ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed the mailing date ED (35 U.S.C. § 13	of this communication.				
Status							
1) Responsive to communication(s) filed on 10/8/	<u>13</u> .						
A declaration(s)/affidavit(s) under 37 CFR 1.1	<b>30(b)</b> was/were filed on						
2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.						
3) An election was made by the applicant in response	onse to a restriction requirement	set forth dur	ing the interview on				
; the restriction requirement and election							
4) Since this application is in condition for allowan							
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 4	53 O.G. 213					
Disposition of Claims*							
5) Claim(s) 1-14 is/are pending in the application.							
5a) Of the above claim(s) 10-14 is/are withdraw	n from consideration.						
6) Claim(s) is/are allowed.							
7) Claim(s) <u>1-9</u> is/are rejected.							
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction and/or			2				
* If any claims have been determined allowable, you may be eli			hway program at a				
participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.jsp or send							
	arringuity to <u>FFFHeedback@usbto.</u>	<u>40v</u> .					
Application Papers	2						
10) The specification is objected to by the Examiner							
11) The drawing(s) filed on is/are: a) acce			=(a)				
Applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction							
	on is required if the drawing(s) is ob	jecied to. See	(37 OF 11 1.121(d).				
Priority under 35 U.S.C. § 119	-viavity	\ (al\ == (f)					
12) Acknowledgment is made of a claim for foreign Certified copies:	priority under 35 U.S.C. § 119(a	)-(a) or (t).					
a) ☐ All b) ☐ Some** c) ☐ None of the:							
1. Certified copies of the priority document	s have been received						
2. Certified copies of the priority document		tion No.					
3. Copies of the certified copies of the prior			_				
	application from the International Bureau (PCT Rule 17.2(a)).						
** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)							
1) Notice of References Cited (PTO-892)	3) Interview Summary	(PTO-413)					
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	Paper No(s)/Mail D						
Paper No/s)/Mail Date	4) Other:						

Paper No(s)/Mail Date
U.S. Patent and Trademark Office
PTOL-326 (Rev. 11-13)

L-326 (Rev. 11-13) Office Action Summary

Application/Control Number: 12/984,293

Art Unit: 3618

The present application is being examined under the pre-AIA first to invent

provisions.

Claims 10-14 are withdrawn from consideration as being directed to a non-

elected invention.

An action on the merits of claims 1-9 follows.

**DETAILED ACTION** 

Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in

the United States.

1. Claims 1-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Gertsch

(US Patent 4,505,494).

Gertsch teaches a vector decoupling assembly for separating and isolating two

or more force vectors (the first being a force from a forward fall and the second being

the force from a torsion fall) applied to a safety binding (14) securing a heel portion of a

ski boot to a ski (1), including: a lower heel assembly (17) attached to the ski (1); an

upper heel assembly (pin 18) coupled to the lower heel assembly and having a lateral

release assembly (16) for applying lateral securing pressure (via spring 21) to the ski

boot; a linkage element (19) fixedly attached to the lateral release assembly (16);

wherein the linkage assembly (19), a first surface defined by slot (26) and a second

surface defined by the opening for pin (25) cooperate to limit motion of the lateral

release assembly (16) to within a predetermined region within a plane defined by the

longitudinal and horizontal axes of the ski (1). Regarding claim 2, the first surface and

the second surface are substantially parallel to one another as shown in Figure 1.

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

Application/Control Number: 12/984,293

Art Unit: 3618

Regarding claim 3, the first surface and the second surface cooperate to limit motion of

the linkage element (19) to the longitudinal and horizontal plane of the ski (1).

Regarding claim 4, the lateral release assembly (16) is maintained in a predetermined

neutral position in the absence of force vectors applied to the vector decoupling

assembly. Regarding claim 5, the lateral release assembly moves in both a first

direction (left) and a second direction (right) with respect to the neutral position as

clearly shown in Figure 6. Regarding claim 6, the motion of the lateral release

assembly is at least partially rotational based on the movement of the second surface.

Regarding claim 7, a force required to move the lateral release assembly increases as

the lateral release assembly moves away from the neutral. Regarding claims 8 and 9,

a relationship between a position of the lateral release assembly with respect to the

neutral position and the force required to move the lateral release assembly is linear

and non-linear in as much as applicant's because the assembly of Gertsch is laterally

released during a torsion fall which involves twisting which is linear and non-linear.

Response to Arguments

2. Applicant's arguments filed October 8, 2013 have been fully considered but they

are not persuasive.

3. On page 5, applicant argues "The Examiner identifies element 17 of Gertsch as

the lower heel assembly of claim 1. Element 17, however, is actually a base plate for a

lateral release means associated with the toe ball portion of a ski boot, rather than the

heel assembly" and "While Gertsch describes element 16 as a lateral release means,

the Gertsch figures and text clearly describe this lateral release means as associated

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

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Application/Control Number: 12/984,293

Art Unit: 3618

with toe retainer means rather than the upper heel assembly...." Contrary to applicant's

argument, the lower heel assembly 17 and the lateral release means 16 are both also

associated with the heel portion of a ski boot via heel retainer 5, as stated in col. 6, lines

59-68 and col. 7, line 1.

4. Applicant's recitation of a "a lower heel assembly" and "an upper heel assembly"

in claim 1 fails to preclude a rejection in view of Gertsch because applicant has failed to

define any distinguishing structure. It is further noted that applicant's use of the term

"assembly" is extremely broad and merely requires a number of parts associated with a

heel.

5. Applicant argues that the linkage assembly (housing19), the slot (guide 26) and

the pin 25 of Gertsch "do not limit motion of the lateral release assembly to within a

predetermined region within a plane defined by the longitudinal and horizontal axes of

the ski." The Examiner disagrees because the slot (guide 26) is designed to permit

limited play of the pin 25 in the longitudinal direction of the ski. Note the teaching of "the

elastic range limit" in col. 7, lines 8-10.

Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in

this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37

CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

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TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to BRIDGET AVERY whose telephone number is

(571)272-6691. The examiner can normally be reached on Monday-Friday from

9:00AM to 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, J. Allen Shriver, can be reached on 571-272-6698. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://portal.uspto.gov/external/portal.

Should you have questions on access to the Private PAIR system, contact the

Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Bridget Avery/

Examiner, Art Unit 3618

/J. ALLEN SHRIVER II/

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

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Application/Control Number: 12/984,293 Page 6

Art Unit: 3618

Supervisory Patent Examiner, Art Unit 3618

#### **EAST Search History**

#### EAST Search History (Prior Art)

796 687 1223	"280"/\$.ccls. and (ski and (heel\$ same release))	USPAT			Stamp
		00.71	OR	OFF	2006/10/12 16:51
1223	S1 and (lateral\$ or horizontal\$ or vertor\$)	USPAT	OR	OFF	2006/10/12 16:50
,,,,,,,,,,,	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2006/10/12 16:51
455	S3 and (ski and (heel\$ same release))	USPAT	OR	OFF	2006/10/16 10:56
1223	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2006/10/16 10:56
455	S5 and (ski and (heel\$ same release))	USPAT	OR	OFF	2006/10/16 10:56
395	S6 and (lateral or horizontal)	USPAT	OR	OFF	2006/10/16 10:57
334	S6 and lateral	USPAT	OR	OFF	2006/10/16 10:57
177	S6 and (lateral with release)	USPAT	OR	OFF	2006/10/16 10:58
129	S6 and (lateral near4 release)	USPAT	OR	OFF	2006/10/16 10:58
1	("4070034"). PN.	USPAT	OR	OFF	2006/12/19 04:08
2	(("4070034") or ("3734522")).PN.	USPAT	OR	OFF	2006/12/19 04:09
0	("skiandheelandlateral").PN.	USPAT	OR	OFF	2007/03/26 17:50
2059	ski and heel and lateral	USPAT	OR	OFF	2007/03/26 17:50
1242	"280"/\$.ccls. and (ski and heel and lateral)	USPAT	OR	OFF	2007/03/26 17:51
1282	"280"/\$.ccls. and (ski and heel and (lateral adj "2" release))	USPAT	OR	OFF	2007/03/26 17:51
166	(280/629).CCLS.	USPAT	OR	OFF	2007/07/09 14:21
115	S17 and release	USPAT	OR	OFF	2007/07/09 14:22
15	S17 and release with horizontal	USPAT	OR	OFF	2007/07/09 14:22
15	S17 and (release with horizontal)	USPAT	OR	OFF	2007/07/09 14:25
6	S20 and heel	USPAT	OR	OFF	2007/07/09 14:25
	1223 455 395 334 177 129 1 2 0 2059 1242 166 115 15	1223       ((280/632) or (280/634) or (280/626) or (280/624) or (280/625) or (280/626) or (280/627)).OCLS.         455       \$5 and (ski and (heel\$ same release))         395       \$6 and (lateral or horizontal)         334       \$6 and (lateral with release)         129       \$6 and (lateral near4 release)         1       ("4070034").PN.         2       (("4070034") or ("3734522")).PN.         0       ("skiandheelandlateral").PN.         2059       ski and heel and lateral         1242       "280"/\$.ccls. and (ski and heel and lateral)         1282       "280"/\$.ccls. and (ski and heel and (lateral adj "2" release))         166       (280/629).CCLS.         115       \$17 and release         15       \$17 and release with horizontal         15       \$20 and heel	1223       ((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)). CCLS.       USPAT         455       \$5 and (ski and (heel\$ same release))       USPAT         395       \$6 and (lateral or horizontal)       USPAT         177       \$6 and (lateral with release)       USPAT         129       \$6 and (lateral near4 release)       USPAT         1       ("4070034").PN.       USPAT         2       (("4070034") or ("3734522")).PN.       USPAT         0       ("skiandheelandlateral").PN.       USPAT         1242       "280"/\$.ccls. and (ski and heel and lateral lateral)       USPAT         1282       "280"/\$.ccls. and (ski and heel and (lateral adj "2" release))       USPAT         15       \$17 and release       USPAT         15       \$17 and release with horizontal       USPAT         15       \$17 and (release with horizontal)       USPAT         6       \$20 and heel       USPAT	1223   ((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627). COLS.	1223   ((280/632) or (280/634) or (280/618) or (280/626) or (280/626) or (280/626) or (280/626) or (280/627). OCLS.

	Case	2:15-cv-00121-wks Document 46-6	Filed 12	/23/16 P	age 53	
		(280/624) or (280/625) or (280/626) or (280/627)).COLS.				21:50
S23	476	S22 and (ski and (heel\$ same release))	USPAT	OR	OFF	2010/09/26 21:50
S24	9	("20020101063"   "2676813"   "3734522"   "3773344"   "3825273"   "3992032"   "4052086"   "4070034").PN. OR ("7318598").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/09/27 16:16
S25	31	US-2676813-\$.DID. OR US-3606370- \$.DID. OR US-3610650-\$.DID. OR US- 3727932-\$.DID. OR US-3865388-\$.DID. OR US-3897076-\$.DID. OR US-3902728- \$.DID. OR US-3905613-\$.DID. OR US- 3909029-\$.DID. OR US-3936062-\$.DID. OR US-4065151-\$.DID. OR US-4264088- \$.DID. OR US-4268064-\$.DID. OR US- 4286801-\$.DID. OR US-4288094-\$.DID. OR US-4288095-\$.DID. OR US-4294461- \$.DID. OR US-4307898-\$.DID. OR US- 4429896-\$.DID. OR US-7225104-\$.DID. OR US-4553772-\$.DID. OR US-4753452- \$.DID. OR US-20020101063-\$.DID. OR US-4070034-\$.DID. OR US-4052086- \$.DID. OR US-3992032-\$.DID. OR US- 3825273-\$.DID. OR US-3773344-\$.DID. OR US-3734522-\$.DID.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/09/27 17:11
S26	158	(280/628).OCLS.	USPAT; USOCR	OR	OFF	2010/09/27 17:29
S27	0	("6nots23").PN.	USPAT; USOCR	OR	OFF	2010/09/27 17:30
S28	1293	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).OCLS.	USPAT	OR	OFF	2010/09/27 17:30
S29	476	S28 and (ski and (heel\$ same release))	USPAT	OR	OFF	2010/09/27 17:30
S30	104	S26 not S29	USPAT	OR	OFF	2010/09/27 17:30
S31	158	(280/628).OCLS.	USPAT; USOCR	OR	OFF	2010/09/27 17:34
S35	49	US-2676813-\$.DID. OR US-20020101063- \$.DID. OR US-3578350-\$.DID. OR US- 3606370-\$.DID. OR US-3610650-\$.DID. OR US-3620545-\$.DID. OR US-3695625- \$.DID. OR US-3727932-\$.DID. OR US- 3734520-\$.DID. OR US-3734522-\$.DID. OR US-3773344-\$.DID. OR US-3825273- \$.DID. OR US-3865388-\$.DID. OR US- 3897076-\$.DID. OR US-3902728-\$.DID. OR US-3905613-\$.DID. OR US-3909029- \$.DID. OR US-3936062-\$.DID. OR US- 3992032-\$.DID. OR US-7915705-\$.DID. OR US-4052086-\$.DID. OR US-4065151- \$.DID. OR US-4070034-\$.DID. OR US- 4111453-\$.DID. OR US-4183549-\$.DID. OR US-4264088-\$.DID. OR US-4266806- \$.DID. OR US-4268064-\$.DID. OR US- 4286801-\$.DID. OR US-4288094-\$.DID. OR US-4288095-\$.DID. OR US-4294461- \$.DID. OR US-4307898-\$.DID. OR US- 4429896-\$.DID. OR US-44444413-\$.DID.	US-PGPUB; USPAT; USOCR	OR	ON	2011/06/19 13:37

	Case	2:15-cv-00121-wks Document 46-6	S Filed 12	/23/16 P	ane 54	of 235
***************************************		OR US-4449731-\$.DID. OR US-4451059- \$.DID. OR US-4466634-\$.DID. OR US- 4475743-\$.DID. OR US-4489956-\$.DID. OR US-4553772-\$.DID. OR US-4602804- \$.DID. OR US-4625991-\$.DID. OR US- 4753452-\$.DID. OR US-4758017-\$.DID. OR US-5160159-\$.DID. OR US-7887084- \$.DID.		,20,10	uge of	
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S37	160	("3129951"   "3317217"   "3325178"   "3326567"   "3351354"   "3366394"   "3408087"   "3432180"   "3473817"   "3497230"   "3527468"   "3529845"   "3529846"   "3550996"   "3554571"   "3554572"   "3578350"   "3580597"   "3594015"   "3604720"   "3606369"   "3608918"   "3610650"   "3620544"   "3620545"   "3625532"   "3675937"   "3727935"   "3733082"   "3734520"   "3762735"   "3778073"   "3820804"   "3830510"   "3836163"   "3876219"   "399023"   "393363"   "3937480"   "3944237"   "3950001"   "3989273"   "3989274"   "4022493"   "4033603"   "4060257"   "4103930"   "4111453"   "4165883"   "4183549"   "4188045"   "4421341"   "4444413"   "4489956"   "4533155"   "4607859"   "4624473"   "4625991"   "4681338"   "4773669"   "5024457"   "RE26670").PN. OR ("3578350"   "3620545"   "3695625"   "3734520"   "4111453"   "4183549"   "4266806"   "4444413"   "4449731"   "4451059"   "4466634"   "4475743"   "4489956"   "4602804"   "4625991"   "4758017"   "5160159").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2011/06/19 14:01
S38	1	"12984293"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/06/12 13:08
S39	1322	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2012/06/12 14:16

	Case	2:15-cv-00121-wks Document 46-6	Filed 12	/23/16 F	age 55	of 235
S40	367	S39 and ((horizontal or lateral) with release)	USPAT	OR	OFF	2012/06/12 14:16
S41	245	S39 and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:16
S42	189	S39 and heel and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:17
S43	2	"20030107352"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/06/14 11:20
S44	1336	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2013/03/25 13:08
S45	555	S44 and ((lateral or side) with (pressure or force))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
S46	418	S45 and heel	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
S47	398	S45 and heel and (boot or footwear)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:10
S48	356	S45 and heel and (boot or footwear) and (release or releasing)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:11
S49	292	S45 and heel and (boot or footwear) and ((side or lateral) same (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:14
S50	241	S45 and heel and (boot or footwear) and ((side or lateral) with (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:14
S51	67	S45 and heel and (boot or footwear) and ((side or lateral) with (release or releasing)	US-PGPUB; USPAT;	OR	ON	2013/03/25 13:22

	Case	2:15-cv-00121-wks Document 46-6 with (limit or limited or predetermined))	Filed 12 USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	/23/16 P	age 56	of 235
S52	6	"12001436"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/02/03 13:53
S53	2	("7887084").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/03 13:55
S54	43	"280"/\$.ccls. and (ski and "heel assembly")	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2014/02/06 12:20

## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S32	0	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	UPAD	OR	OFF	2010/09/27 17:13
S33	0	(280/628).CCLS.	UPAD	OR	OFF	2010/09/27 17:34
S34	322	280/628	USPAT; UPAD	OR	OFF	2010/09/27 17:35

2/10/2014 3:29:32 AM

C:\ Users\ bavery\ Documents\ EAST\ Workspaces\ 12984293.wsp

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12984293	HOWELL, RICHARD J.
	Examiner	Art Unit
	BRIDGET AVERY	3618

CPC- SEARCHED	)	
Symbol	Date	Examiner
CPC COMBINATION SETS -	SEARCHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
280	618, 624, 625, 626, 627, 628, 62, 632, 634	6/6/11	BA			
search	updated	6/25/12	BA			
search	updated	3/25/13	BA			
search	updated	2/5/14	BA			

SEARCH NOTES	3	
Search Notes	Date	Examiner

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		

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## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 58 of 235



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/984,293	01/04/2011	Richard J. Howell	2003127.00122US3	2029	
23483 WILMERHAL	7590 11/08/2013 F/ROSTON		EXAM	INER	
60 STATE STREET		· ·	AVERY, BRIDGET D		
BOSTON, MA	02109		ART UNIT	PAPER NUMBER	
		**	3618	-	
			NOTIFICATION DATE	DELIVERY MODE	
			11/08/2013	ELECTRONIC	

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

teresa.carvalho@wilmerhale.com whipusptopairs@wilmerhale.com

		0 0.45 00404		D	-				
	1	Case 2:15 cv 00121 wks Docu	ment 46-6 Filed 12/23/16 Application No. 12/984,293	Page 59 of 235 Applicant(s) HOWELL, RICH					
		TICE OF IMPROPER REQUEST FOR CONTINUED EXAMINATION (RCE)	12/304,233	Art Unit 3600	Date Mailed:				
	The request for continued examination (RCE) under 37 CFR 1.114 filed on <u>08 October, 2013</u> is improper for reason(s) indicated below:								
1.	Continued examination under 37 CFR 1.114 does not apply to an application for a design patent. Applicant may wish to consider filing a continuing application under 37 CFR 1.53(b) or a CPA under 37 CFR 1.53(d). An RCE cannot be treated as a CPA.								
2.		Continued examination under 37 CFR 1.114 doe Applicant may wish to consider filing a continuing	es not apply to an application that g application under 37 CFR 1.53(b	was filed before J o).	une 8, 1995.				
3.	Continued examination under 37 CFR 1.114 does not apply to an application unless prosecution in the application is closed. If the RCE was accompanied by a reply to a non-final Office action, the reply will be entered and considered under 37 CFR 1.111. If the RCE was <u>not</u> accompanied by a reply, the time period set forth in the last Office action continues to run from the mailing date of that action.								
4.	The request was not filed before payment of the issue fee, and no petition under 37 CFR 1.313 was granted. If this application has not yet issued as a patent, applicant may wish to consider filing either a petition under 37 CFR 1.313 to withdraw this application from issue, or a continuing application under 37 CFR 1.53(b).								
5.		The request was not filed before abandonment of proceedings terminated on Applicant mathis abandoned application.							
6.		The request was not accompanied by the fee se 1.114. Since the application is not under appeal, allowance continues to run from the mailing date	the time period set forth in the fin		notice of				
7.		The request was not accompanied by a submiss under appeal, the time period set forth in the fina mailing date of that action or notice.							
CP CF	<b>Note:</b> A continued prosecution application (CPA) under 37 CFR 1.53(d) <u>cannot</u> be filed in a utility or plant application. A CPA filed in a utility or plant application that has a filing date <b>on or after June 8, 1995</b> will be treated as an RCE under 37 CFR 1.114. The request for a CPA in the instant application, however, has been treated as an improper RCE for the reason(s) indicated above.								
	A copy of this Notice MUST be returned with the reply.								
Dir	ect	any questions concerning this notice to		C .					
		/SHANDA ROSS/, Technolog	gy Center 3600	*					

U.S. Patent and Trademark Office PTO 2051 (rev.05-04)

Telephone Number: (571)272-6621

Part of Paper No. 20131031-2

Docket No.: 2003127.00122US3

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard J. HOWELL Confirmation No.: 2029

Application No.: 12/984,293 Art Unit: 3618

Filed: January 4, 2011 Examiner: B. D. Avery

Title: ALPINE SKI BINDING HEEL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR REFUND

On April 8, 2013, an Office Action issued in the above-referenced application. The cover page designated the Office Action as non-final, while paragraph 3 of the Office stated that it was Final. In an abundance of caution, the Applicant filed an RCE along with the Response filed on October 8, 2013.

On November 8, 2013, Applicant received a Notice of Improper Request for Continued Examination. Since the Examiner has determined that the Office Action was intended to be non-final, Applicant hereby requests a refund for the RCE charge incurred on October 9, 2013 in the amount of \$850.00 in the above-referenced application.

Respectfully submitted,

Dated: November 26, 2013

\_\_/Ronald R. Demsher/ Ronald R. Demsher Registration No.: 42,478 Attorney for Applicant(s)

Wilmer Cutler Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6000 (telephone) (617) 526-5000 (facsimile)

Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 61 of 235  Electronic Acknowledgement Receipt				
EFS ID:	17503126			
Application Number:	12984293			
International Application Number:				
Confirmation Number:	2029			
Title of Invention:	ALPINE SKI BINDING HEEL UNIT			
First Named Inventor/Applicant Name:	Richard J. Howell			
Customer Number:	23483			
Filer:	Ronald R. Demsher./C. Bates			
Filer Authorized By:	Ronald R. Demsher.			
Attorney Docket Number:	2003127.00122US3			
Receipt Date:	26-NOV-2013			
Filing Date:	04-JAN-2011			
Time Stamp:	10:41:02			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with	Payment		no			
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	12	22US3_Notice_of_Improper_	81599	no	2
	Miscellaneous incoming Letter		RCE.PDF	2d123b35d19e045ab7ae065ef90e1223fe9 6e06f	110	2
Warnings:					,	
Information:						

2	Refund Request	122US3_Request_for_Refund.	23/16 Page 62 0 77482 ccfbd7260d3596aed9fc338a07abe924f8bb a121	of 235 no	1
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		Total Files Size (in bytes):	15	59081	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 63 of 235



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/984,293	01/04/2011	Richard J. Howell	2003127.00122US3 202	
23483 WILMERHAL	7590 11/08/2013 F/ROSTON		EXAMINER	
60 STATE STI	REET		AVERY, BI	RIDGET D
BOSTON, MA	. 02109		ART UNIT	PAPER NUMBER
		#8	3618	
			NOTIFICATION DATE	DELIVERY MODE
			11/08/2013	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

teresa.carvalho@wilmerhale.com whipusptopairs@wilmerhale.com

_	-	Case 2:15 cv 00121 wks - Docu	ment 46.6 Filed 12/23/16 Application No.	Applicant(s)	5
	1		12/984,293	HOWELL, RICI	HARD I
		TICE OF IMPROPER REQUEST FOR	12/304,233	Art Unit	Date Mailed:
	С	ONTINUED EXAMINATION (RCE)		3600	Date manea.
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Th	e re	quest for continued examination (RCE) unde	er 37 CFR 1.114 filed on 08 Oc	tober, 2013 is i	mproper for
		(s) indicated below:			
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1		Continued examination under 37 CFR 1.114 doe	es not apply to an application for a	design patent	Applicant may
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2.		Continued examination under 37 CFR 1.114 doe	es not apply to an application that	was filed before	June 8, 1995.
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		Office action continues to run from the mailing of	date of that action.		
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4.		The request was not filed before payment of the			
		this application has not yet issued as a patent, a			n under 37 CFR
		1.313 to withdraw this application from issue, or	a continuing application under 37	CFR 1.53(b).	
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5.	П	The request was not filed before abandonment of			
		proceedings terminated on Applicant mathis abandoned application.	ay wish to consider filing a petition	under 37 CFR	1.137 to revive
		this abandoned application.			
6.	П	The request was not accompanied by the fee se	t forth in 37 CFR 1 17(e) as requi	red by 37 CFR	
•		1.114. Since the application is not under appeal,	the time period set forth in the fir	nal Office action	or notice of
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7.		The request was not accompanied by a submiss	sion as required by 37 CFR 1.114.	Since the applic	ation is not
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		A continued prosecution application (CPA) under			
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CF	R 1.	114. The request for a CPA in the instant applica	ation, however, has been treated a	as an improper R	CE for the
rea	son(	s) indicated above.			
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U.S. Patent and Trademark Office PTO 2051 (rev.05-04)

Part of Paper No. 20131031-2

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 65 of 235 PTO/SB/30EFS (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web) Docket Number Application Filing Art 2011-01-04 12984293 2003127.00122US3 3618 (if applicable) Number Unit Date First Named Examiner Richard J. HOWELL B. D. Avery Inventor Name This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV SUBMISSION REQUIRED UNDER 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Brief or Reply Brief previously filed on Other x Enclosed X Amendment/Reply Information Disclosure Statement (IDS) Affidavit(s)/ Declaration(s) X Other Extension of Time **MISCELLANEOUS** Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) Other **FEES** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Patent Practitioner Signature **Applicant Signature** 

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 66 of 235 PTO/SB/30EFS (07-09)

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Signature of Registered U.S. Patent Practitioner				
Signature	/Ronald R. Demsher/	Date (YYYY-MM-DD)	2013-10-07	
Name	Ronald R. Demsher	Registration Number	42478	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
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  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Docket No.: 2003127.00122US3

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard J. HOWELL Confirmation No.: 2029

Application No.: 12/984,293 Art Unit: 3618

Filed: January 4, 2011 Examiner: B. D. Avery

Title: ALPINE SKI BINDING HEEL UNIT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE AFTER FINAL ACTION UNDER 37 C.F.R. 1.116

Dear Madam:

## INTRODUCTORY COMMENTS

In response to the Office Action dated April 8, 2013, finally rejecting claims 1-9, please reconsider the above-identified U.S. patent application in light of the following remarks:

The pending claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.

## **AMENDMENTS TO THE CLAIMS**

1. (Previously presented) A vector decoupling assembly for separating and isolating two or more force vectors applied to a safety binding securing a heel portion of a ski boot to a ski, comprising:

a lower heel assembly attached to the ski;

an upper heel assembly coupled to the lower heel assembly and having a lateral release assembly for applying lateral securing pressure to the ski boot;

a linkage element fixedly attached to the lateral release assembly; wherein the linkage element, a first surface and a second surface cooperate to limit motion of the lateral release assembly to within a predetermined region within a plane defined by the longitudinal and horizontal axes of the ski.

- 2. (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface are substantially parallel to one another.
- 3. (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface cooperate to limit motion of the linkage element to the longitudinal and horizontal plane of the ski.
- 4. (Previously presented) The vector decoupling assembly of claim 1, wherein the lateral release assembly is maintained in a predetermined neutral position in the absence of force vectors applied to the vector decoupling assembly.
- 5. (Previously presented) The vector decoupling assembly of claim 4, wherein the lateral release assembly moves in both a first direction and a second direction with respect to the neutral position.
- 6. (Previously presented) The vector decoupling assembly of claim 5, wherein the motion of the lateral release assembly is at least partially rotational.

Application No. 12/984,293

Reply to Office Action of April 8, 2013

7. (Previously presented) The vector decoupling assembly of claim 5, wherein a force required

to move the lateral release assembly increases as the lateral release assembly moves away from the

neutral position.

8. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is linear.

9. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is non-linear.

10. (Withdrawn) The vector decoupling assembly of claim 4, wherein the lateral release

assembly moves only in a first direction with respect to the neutral position.

11. (Withdrawn) The vector decoupling assembly of claim 10, wherein the motion of the lateral

release assembly is at least partially rotational.

12. (Withdrawn) The vector decoupling assembly of claim 10, wherein a force required to move

the lateral release assembly increases as the lateral release assembly moves away from the neutral

position.

13. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a

position of the lateral release assembly with respect to the neutral position and the force required to

move the lateral release assembly is linear.

14. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a

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Application No. 12/984,293 Reply to Office Action of April 8, 2013

position of the lateral release assembly with respect to the neutral position and the force required to move the lateral release assembly is non-linear.

## **REMARKS**

This paper is responsive to the non-final Office Action dated April 8, 2013. By this paper, none of the pending claims have been amended.

The Office Action Summary shows this Office Action to be non-final, and the Applicant believes this to be correct. At paragraph 3 of the Office Action, however, the Examiner states that this Office Action is final. In an abundance of caution, the Applicant has filed an RCE along with this response. If the Examiner determines that the Office Action is truly non-final, the Applicant withdraws the RCE and requests a refund.

## Claim Rejections - 35 USC § 102

Claims 1-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Gertsch (US Patent 4,505,494). Applicant traverses these rejections for at least the following reasons.

The Examiner identifies element 17 of Gertsch as the lower heel assembly of claim 1. Element 17, however, is actually a base plate for a lateral release means associated with the <u>toe ball</u> portion of a ski boot, rather than the heel assembly:

"Portion 3b forms a foot plate <u>for the toe ball portion of a ski boot</u> and it rests on a rest means which is in the form of a strip 12 with good sliding properties disposed on the upper surface of the ski. The foot plate carries on its upper surface a transversely extending support rail 13 for supporting the ski boot sole. At the forward end of portion 3b a toe retainer means 14 is fixedly mounted which overlaps the ski boot sole with a sole hold-down member 15. The toe retainer means 14 is coupled with a lateral release means 16 mounted on a base plate 17 provided on the ski." Gertsch col. 6 lines 5-15 (emphasis added).

The elements of Gertsch to which the Examiner refers for remaining claim elements relate to the toe portion of the ski boot rather than the heel portion as recited in the claims.

The Examiner identifies element 15 as the upper heel assembly of claim 1. Element 15, however, is a "sole hold-down member" associated with the toe retainer means, rather than an upper heel assembly:

"At the forward end of portion 3b <u>a toe retainer means 14 is fixedly mounted which overlaps the ski boot sole with a sole hold-down member 15.</u>" Gertsch col. 6 lines 10-13 (emphasis added).

Regarding the claim 1 limitation of "an upper heel assembly . . . having a lateral release assembly for applying lateral securing pressure to the ski boot", the Examiner identifies Gertsch element 16 as the "lateral release assembly." While Gertsch describes element 16 as a "lateral release means," the Gertsch figures and text clearly describe this lateral release means as associated with the "toe retainer means" rather than the upper heel assembly as recited in the claims:

"Portion 3b forms a foot plate for the toe ball portion of a ski boot and it rests on a rest means which is in the form of a strip 12 with good sliding properties disposed on the upper surface of the ski. The foot plate carries on its upper surface a transversely extending support rail 13 for supporting the ski boot sole. At the forward end of portion 3b a toe retainer means 14 is fixedly mounted which overlaps the ski boot sole with a sole hold-down member 15. The toe retainer means 14 is coupled with a lateral release means 16 mounted on a base plate 17 provided on the ski." Gertsch col. 6 lines 5-15 (emphasis added).

The Examiner identifies element 19 of Gertsch as the linkage element of claim 1. Element 19, however, is a housing containing a piston. Claim 1 further requires "the linkage element, a first surface and a second surface cooperate to limit motion of the lateral release assembly." For this limitation, the Examiner identifies alleged cooperation of "the linkage assembly (16), a first surface defined by slot (26) and a second surface defined by the opening for pin (25)." According to claim 1, the first of these three cooperating elements is the linkage element, which the Examiner previously identified as Gertsch element 19, not 16. Assuming the Examiner meant for the first element to be 19 rather than 16, these three elements of Gertsch do not limit motion of the lateral release assembly to within a predetermined region within a plane defined by the longitudinal and horizontal axes of the ski, as required by claim 1. Further, as described above, these three elements of Gertsch are part of a toe retention assembly rather than a heel assembly. Claim 1 requires the linkage element to be fixedly attached to the lateral release assembly, which is part of the upper heel assembly.

Docket No.: 2003127.00122US3

For at least the reasons set forth above, claim 1 should be allowable. Since claims 2-9 depend from allowable claim 1, those claims should also be allowable. Accordingly, Applicant believes the pending application is in condition for allowance.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 08-0219, under Order No. 2003127.00122US3, and please credit any excess fees to the same deposit account.

Respectfully submitted,

Dated: October 8, 2013

/Ronald R. Demsher/
Ronald R. Demsher
Registration No.: 42,478
Attorney for Applicant(s)

Wilmer Cutler Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6000 (telephone) (617) 526-5000 (facsimile)

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PTO/AIA/22 (03-13)
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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)			Docket Number (Optional) 2003127.00122US3		
Application Number 12/984,	293-Conf. #2	029	Filed January 4, 2011		
For ALPINE SKI BINDING HEEL UNIT					
Art Unit 3618			Examiner	B. D. Avery	
This is a request under the provisions of 37 C	FR 1.136(a) to	extend the period	for filing a reply in t	he above-identified application.	
The requested extension and fee are as follo	ws (check tim	e period desired	and enter the appro	priate fee below):	
One month (37 CFR 1.17(a)(1))	<u>Fee</u> \$200	Small Entity Fee \$100	Micro Entity I \$50	<del>Fee</del> \$	
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$	
X Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$	
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$	
Five months (37 CFR 1.17(a)(5))	\$3000	\$1,500	\$750	\$	
Five months (37 CFR 1.17(a)(5)) \$3000 \$1,500 \$750 \$  X Applicant asserts small entity status. See 37 CFR 1.27.  Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.  A check in the amount of the fee is enclosed.  X Payment by credit card. Form PTO-2038 is attached.  The Director has already been authorized to charge fees in this application to a Deposit Account.  X The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 08-0219  X Payment made via EFS-Web.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  I am the  applicant.  X attorney or agent of record. Registration Number 42,478  attorney or agent acting under 37 CFR 1.34. Registration number					
/Ronald R. Dem Signature	sher/		Oct	ober 8, 2013 Date	
Ronald R. Dem	sher		(61	7) 526-6000	
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Electronic Patent Application Fee Transmittal						
Application Number:	129	984293				
Filing Date:	04-	-Jan-2011				
Title of Invention:	ALPINE SKI BINDING HEEL UNIT					
First Named Inventor/Applicant Name:	Richard J. Howell					
Filer:	Ronald R. Demsher./C. Bates					
Attorney Docket Number:	2003127.00122US3					
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 3 months with \$0 paid		2253	1	700	700	

Case 2:15-cv-00121-wks Docun  Description	Fee Code	Quantity	Page 77 of 2: Amount	Sub-Total ir USD(\$)
1iscellaneous:				
RCE - 2nd and Subsequent Request	2820	1	850	850

Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 78 of 235  Electronic Acknowledgement Receipt			
EFS ID:	17065752		
Application Number:	12984293		
International Application Number:			
Confirmation Number:	2029		
Title of Invention:	ALPINE SKI BINDING HEEL UNIT		
First Named Inventor/Applicant Name:	Richard J. Howell		
Customer Number:	23483		
Filer:	Ronald R. Demsher./C. Bates		
Filer Authorized By:	Ronald R. Demsher.		
Attorney Docket Number:	2003127.00122US3		
Receipt Date:	08-OCT-2013		
Filing Date:	04-JAN-2011		
Time Stamp:	14:04:01		
Application Type:	Utility under 35 USC 111(a)		

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Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1550
RAM confirmation Number	373
Deposit Account	080219
Authorized User	DEMSHER, RONALD R

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	122US3_RCE.PDF	697746	no	3
	(RCE)	A Property Peditions - Society Charles Color	81a46468083bd3dcc98de08ebef7c31893c 454b2	Lands M	
Warnings:					
Information:					
2		122US3_Response_8Oct2013_	111022	yes	7
<del></del>		2.PDF	49f3bfbd3b2abb557dac621f2741d962419 d4948	7.55	
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	Document De	scription	Start	E	nd
	Response After F	inal Action	1 1		
	Claims	2	4		
	Applicant Arguments/Remarks	5	100	7	
Warnings:				9	
Information:					
3	Extension of Time	122US3_EOT.PDF	1623643	no	1
			3e180e43e5d3eb110443aeb2c666b9a228a b7f53		
Warnings:			*		
Information:					-
4	Fee Worksheet (SB06)	fee-info.pdf	31929	no	2
	Tee worksileer (2000)		2049931bb6e4989ad290b17ca72da65898 75108d		<del>71</del> 23
Warnings:					
Information:					
		Total Files Size (in bytes)	24	64340	

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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## 

PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application or Docket Number PATENT APPLICATION FEE DETERMINATION RECORD iling Date 12/984,293 01/04/2011 To be Mailed Substitute for Form PTO-875 ☐ LARGE ☐ SMALL ☐ MICRO ENTITY: APPLICATION AS FILED - PART I (Column 1) (Column 2) FOR NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) BASIC FEE N/A N/A N/A (37 CFR 1.16(a), (b), or (c) ☐ SEARCH FEE N/A N/A N/A ■ EXAMINATION FEE N/A N/A N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS minus 20 = X \$ (37 CFR 1.16(i)) INDEPENDENT CLAIMS minus 3 = X \$ (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 ☐APPLICATION SIZE FEE for small entity) for each additional 50 sheets or (37 CFR 1.16(s)) fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL APPLICATION AS AMENDED - PART II (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER 10/08/2013 PRESENT EXTRA RATE (\$) ADDITIONAL FEE (\$) PREVIOUSLY AFTER ENDMEN AMENDMENT PAID FOR Total (37 CFR · 14 Minus \*\* 20 = 0 x \$40 =0 x \$210 = 0 0 - 1 Minus \*\*\*3 Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL ADD'L FEE 0 (Column 1) (Column 2) (Column 3) CLAIMS HIGHES1 REMAINING NUMBER PRESENT EXTRA RATE (\$) ADDITIONAL FEE (\$) PREVIOUSLY **AFTER** AMENDMENT PAID FOR EN Total (37 CFR Minus X S ENDM Minus Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(i)) TOTAL ADD'L FEE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

/SHANDA ROSS/
ppropriate box in column 1.

SLIE

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

 $<sup>^{\</sup>star\star}$  If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

<sup>\*\*\*</sup> If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".





## WILMERHALE

May 8, 2013

Ronald R. Demsher

+1 617 526 6105 (t) +1 617 526 5000 (f) ronald.demsher@wilmerhale.com

Ms. Bridget Avery United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

Re: United States Patent App. 12/984,293

Dear Ms. Avery:

I am the attorney of record for the above-referenced application (the "Application"). On March 17, 2013 and May 1, 2013, you received email communications from one of the inventors, Richard J. Howell. I write to clarify and correct Mr. Howell's misstatements regarding the Application and issued U.S. Patent Nos. 7,318,598 and 7,887,084 (the Patents").

First, the Patents and Application referenced by Mr. Howell were assigned by Mr. Howell to KneeBinding, Inc. ("KneeBinding") in 2006 and 2008. The assignments are on file with the U.S. Patent and Trademark Office.

Second, contrary to Mr. Howell's assertions, he has no continuing interest - financial or otherwise – in the Application or the Patents. Mr. Howell assigned all right, title, and interest in the Patents and the Application to KneeBinding. WilmerHale's representation of KneeBinding before the U.S. Patent and Trademark Office does not create a "conflict of interest" with Mr. Howell.

Third, WilmerHale is not "acting adversely against [Mr. Howell] in real-time litigation." WilmerHale is not presently nor has it been in the past a party to any lawsuit or proceeding adverse to Mr. Howell, nor is WilmerHale representing any client in litigation adverse to the interests of Mr. Howell.

Fourth, despite the suggestion by Mr. Howell to the contrary, the Application is allowable. The U.S. Patent and Trademark Office should proceed with its examination without regard to any statements made by Mr. Howell.

Wilmer Cutler Pickering Hale and Dorr LLP, 60 State Street, Boston, Massachusetts 02109

Beijing Berlin Boston Brussels Frankfurt London Los Angeles New York Oxford Palo Alto Waltham Washington

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WILMERHALE

Ms. Bridget Avery May 8, 2013 Page 2

Finally, WilmerHale's work in connection with the Application is on behalf of KneeBinding, not Mr. Howell. WilmerHale does not represent Mr. Howell.

I am happy to discuss any aspect of the Application or Mr. Howell's communications with you at any time.

Very truly yours,

Ronald R. Demsher

RRD:nmo



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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATIO	
12/984,293	01/04/2011	Richard J. Howell	2003127.00122US3	2029
23483 WILMERHAL	7590 04/08/2013 E/BOSTON		EXAM	INER
60 STATE STI			AVERY, B	RIDGET D
BOSTON, MA	. 02109		ART UNIT	PAPER NUMBER
			3618	
			NOTIFICATION DATE	DELIVERY MODE
			04/08/2013	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

teresa.carvalho@wilmerhale.com whipusptopairs@wilmerhale.com

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 85 of 235

	<u> </u>	Application		Applicant(s)	-		
Office Action Summary		12/984,29	93	HOWELL, RICHA	RD J.		
		Examiner		Art Unit			
		BRIDGET	AVERY	3618			
	The MAILING DATE of this communication	THE ADMINISTRATION	169450974.0.21	_ DEREMAND	dress		
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WHIC - Exter after - If NC - Failu Any	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status							
1)[X]	Responsive to communication(s) filed on	09 January 201	3				
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				ant forth during the	o interview on		
3)[	An election was made by the applicant in	THE RESERVE TO SERVE THE PROPERTY OF THE PERSON OF THE PER	weeks are a construction of the same second and the same second an		e interview on		
٨٦	; the restriction requirement and ele		The state of the s				
4)	Since this application is in condition for al		the content of the control of the Clark States of the Content of the Content States of Content States		ments is		
	closed in accordance with the practice un	ider <i>Ex parte Qu</i>	ayle, 1935 G.D. 11, 45	3 O.G. 213.			
Dispositi	on of Claims						
5)	5) ☑ Claim(s) 1-14 is/are pending in the application.						
	5a) Of the above claim(s) 10-14 is/are with	hdrawn from cor	sideration.				
6)	Claim(s) is/are allowed.						
7) 🛛	Claim(s) 1-9 is/are rejected.						
8)	Claim(s) is/are objected to.						
9)	Claim(s) are subject to restriction a	and/or election re	equirement.				
program	aims have been determined <u>allowable</u> , yo at a participating intellectual property office w.uspto.gov/patents/init_events/pph/index	e for the corresp	onding application. For	more information			
Applicati	on Papers						
10)	The specification is objected to by the Exa	aminer.					
11)	The drawing(s) filed on is/are: a)	accepted or b)	objected to by the E	Examiner.			
A CONTRACTOR	Applicant may not request that any objection t		0-157 ANT				
	Replacement drawing sheet(s) including the c	170 0			FR 1.121(d).		
Priority under 35 U.S.C. § 119							
12)	12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a)	☐ All b)☐ Some * c)☐ None of:						
	1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of the certified copies not received.							
Attachmen	101.0%		_				
1) Notic	e of References Cited (PTO-892)		Interview Summary     Paper No/s / Mail Da				
	Paper No(s)/Mail Date  Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  4) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 09-12) Application/Control Number: 12/984,293

Art Unit: 3618

## DETAILED ACTION

## Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

 Claims 1-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Gertsch (US Patent 4,505,494).

Gertsch teaches a vector decoupling assembly for separating and isolating two or more force vectors (the first being a force from a forward fall and the second being the force from a torsion fall) applied to a safety binding (14) securing a heel portion of a ski boot to a ski (1), including: a lower heel assembly (17) attached to the ski (1); an upper heel assembly (pin 15) coupled to the lower heel assembly and having a lateral release assembly (16) for applying lateral securing pressure (via spring 21) to the ski boot; a linkage element (19) fixedly attached to the lateral release assembly (16); wherein the linkage assembly (16), a first surface defined by slot (26) and a second surface defined by the opening for pin (25) cooperate to limit motion of the lateral release assembly (16) to within a predetermined region within a plane defined by the longitudinal and horizontal axes of the ski (1). Regarding claim 2, the first surface and the second surface are substantially parallel to one another as shown in Figure 1. Regarding claim 3, the first surface and the second surface cooperate to limit motion of the linkage element (19) to the longitudinal and horizontal plane of the ski (1). Regarding claim 4, the lateral release assembly (16) is maintained in a predetermined neutral position in the absence of force vectors applied to the vector decoupling assembly. Regarding claim 5, the lateral release assembly moves in both a first

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direction (left) and a second direction (right) with respect to the neutral position as

clearly shown in Figure 6. Regarding **claim 6**, the motion of the lateral release

assembly is at least partially rotational based on the movement of the second surface.

Regarding **claim 7**, a force required to move the lateral release assembly increases as

the lateral release assembly moves away from the neutral. Regarding claims 8 and 9,

a relationship between a position of the lateral release assembly with respect to the

neutral position and the force required to move the lateral release assembly is linear

and non-linear in as much as applicant's because the assembly of Gertsch is laterally

released during a torsion fall which involves twisting which is linear and non-linear.

Response to Arguments

2. Applicant's arguments with respect to claim 1 has been considered but are moot

because the arguments do not apply to any of the references being used in the current

rejection.

Conclusion

3. Applicant's amendment necessitated the new ground(s) of rejection presented in

this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37

CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

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shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

4. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to BRIDGET AVERY whose telephone number is

(571)272-6691. The examiner can normally be reached on Monday-Friday from

9:00AM to 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, J. Allen Shriver, can be reached on 571-272-6698. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://portal.uspto.gov/external/portal.

Should you have questions on access to the Private PAIR system, contact the

Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Bridget Avery/

Examiner, Art Unit 3618

/J. ALLEN SHRIVER II/

Supervisory Patent Examiner, Art Unit 3618

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc.

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	Notice of References Cited				Application/C 12/984,293	ontrol No.	Applicant(s)/Patent Under Reexamination HOWELL, RICHARD J.			
					Examiner		Art Unit	1		
					BRIDGET AV	FRY	3618	Page 1 of 1		
	U.S. PATENT DOCUMENTS									
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name Classification						
*	Α	US-3,992,032 A	11-1976	Swenso	on, Glen R.			280/632		
*	В	US-4,505,494 A	03-1985	Gertsch	n, Ulrich			280/618		
	С	US-								
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A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20130325

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# Search Notes 12984293 Examiner BRIDGET AVERY Applicant(s)/Patent Under Reexamination HOWELL, RICHARD J. Art Unit 3618

CPC- SEARCHE	ĒD	
Symbol	Date	Examiner
CPC COMBINATION SETS	- SEARCHED	I.
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
280	618, 624, 625, 626, 627, 628, 62, 632, 634	6/6/11	BA			
search	updated	6/25/12	BA			
search	updated	3/25/13	BA			

SEARCH NOTES						
Search Notes	Date	Examiner				

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		

## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1336	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).OCLS.	USPAT	OR	OFF	2013/03/25 13:08
L2	555	1 and ((lateral or side) with (pressure or force))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
L3	418	2 and heel	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:09
L4	398	2 and heel and (boot or footwear)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	UB; OR ON O;		2013/03/25 13:10
L5	356	2 and heel and (boot or footwear) and (release or releasing)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:11
L6	292	2 and heel and (boot or footwear) and ((side or lateral) same (release or releasing))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/03/25 13:14
L7	241	2 and heel and (boot or footwear) and ((side or lateral) with (release or releasing))			2013/03/25 13:14	
L8	67	2 and heel and (boot or footwear) and ((side or lateral) with (release or releasing) with (limit or limited or predetermined))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	ON	2013/03/25 13:22

	Case	2:15-cv-00121-wks Document 46-6	DERWENT;	/23/16 F	Page 92	of 235
			IBM TDB			
S1	796	"280"/\$.cds. and (ski and (heel\$ same release))	USPAT	OR	OFF	2006/10/1 16:51
S2	687	S1 and (lateral\$ or horizontal\$ or vertor\$)	USPAT	OR	OFF	2006/10/1 16:50
S3	1223	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2006/10/1 16:51
S4	455	S3 and (ski and (heel\$ same release))	USPAT	OR	OFF	2006/10/1 10:56
S5	1223	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).OOLS.	USPAT	OR	OFF	2006/10/1 10:56
S6	455	S5 and (ski and (heel\$ same release))	USPAT	OR	OFF	2006/10/1 10:56
S7	395	S6 and (lateral or horizontal)	USPAT	OR	OFF	2006/10/1 10:57
S8	334	S6 and lateral	USPAT	OR	OFF	2006/10/1 10:57
S9	177	S6 and (lateral with release)	USPAT	OR	OFF	2006/10/1 10:58
S10	129	S6 and (lateral near4 release)	USPAT	OR	OFF	2006/10/1 10:58
S11	1	("4070034").PN.	USPAT	OR	OFF	2006/12/1 04:08
S12	2	(("4070034") or ("3734522")).PN.	USPAT	OR	OFF	2006/12/1 04:09
S13	0	("skiandheelandlateral").PN.	USPAT	OR	OFF	2007/03/2 17:50
S14	2059	ski and heel and lateral	USPAT	OR	OFF	2007/03/2 17:50
S15	1242	"280"/\$.ccls. and (ski and heel and lateral)	USPAT	OR	OFF	2007/03/2 17:51
S16	1282	"280"/\$.ccls. and (ski and heel and (lateral adj "2" release))	USPAT	OR	OFF	2007/03/2 17:51
S17	166	(280/629).CCLS.	USPAT	OR	OFF	2007/07/0 14:21
S18	115	S17 and release	USPAT	OR	OFF	2007/07/0 14:22
S19	15	S17 and release with horizontal	USPAT	OR	OFF	2007/07/0 14:22
S20	15	S17 and (release with horizontal)	USPAT	OR	OFF	2007/07/0 14:25
S21	6	S20 and heel	USPAT	OR	OFF	2007/07/0 14:25
S22	1293	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2010/09/2 21:50
S23	476	S22 and (ski and (heel\$ same release))	USPAT	OR	OFF	2010/09/2 21:50
S24	9	("20020101063"   "2676813"   "3734522"   "3773344"   "3825273"   "3992032"   "4052086"   "4070034").PN. OR ("7318598").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/09/2 16:16

	Case	2:15-cv-00121-wks Document 46-6	Filed 12	/23/16 P	age 93	of 235
S25	31	US-2676813-\$.DID. OR US-3606370- \$.DID. OR US-3610650-\$.DID. OR US- 3727932-\$.DID. OR US-3865388-\$.DID. OR US-3897076-\$.DID. OR US-3902728- \$.DID. OR US-3905613-\$.DID. OR US- 3909029-\$.DID. OR US-3936062-\$.DID. OR US-4065151-\$.DID. OR US-4264088- \$.DID. OR US-4268064-\$.DID. OR US- 4286801-\$.DID. OR US-4288094-\$.DID. OR US-4288095-\$.DID. OR US-4294461- \$.DID. OR US-4307898-\$.DID. OR US- 4429896-\$.DID. OR US-7225104-\$.DID. OR US-4553772-\$.DID. OR US-4753452- \$.DID. OR US-20020101063-\$.DID. OR US-4070034-\$.DID. OR US-4052086- \$.DID. OR US-3992032-\$.DID. OR US- 3825273-\$.DID. OR US-3773344-\$.DID. OR US-3734522-\$.DID.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/09/27 17:11
S26	158	(280/628).CCLS.	USPAT; USOCR	OR	OFF	2010/09/27 17:29
S27	0	("6nots23").PN.	USPAT; USOCR	OR	OFF	2010/09/27 17:30
S28	1293	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	USPAT	OR	OFF	2010/09/27 17:30
S29	476	S28 and (ski and (heel\$ same release))	USPAT	OR	OFF	2010/09/27 17:30
S30	104	S26 not S29	USPAT	OR	OFF	2010/09/27 17:30
S31	158	(280/628).CCLS.	USPAT; USOCR	OR	OFF	2010/09/27 17:34
\$35		US-2676813-\$.DID. OR US-20020101063-\$.DID. OR US-3578350-\$.DID. OR US-3606370-\$.DID. OR US-3610650-\$.DID. OR US-3620545-\$.DID. OR US-3695625-\$.DID. OR US-3727932-\$.DID. OR US-3734520-\$.DID. OR US-3734522-\$.DID. OR US-37334520-\$.DID. OR US-3734522-\$.DID. OR US-3773344-\$.DID. OR US-3825273-\$.DID. OR US-3865388-\$.DID. OR US-3897076-\$.DID. OR US-3902728-\$.DID. OR US-3905613-\$.DID. OR US-3909029-\$.DID. OR US-3936062-\$.DID. OR US-3992032-\$.DID. OR US-7915705-\$.DID. OR US-4052086-\$.DID. OR US-4065151-\$.DID. OR US-4070034-\$.DID. OR US-4111453-\$.DID. OR US-4183549-\$.DID. OR US-4264088-\$.DID. OR US-4266806-\$.DID. OR US-4268064-\$.DID. OR US-4268064-\$.DID. OR US-4268061-\$.DID. OR US-4288094-\$.DID. OR US-4288095-\$.DID. OR US-4294461-\$.DID. OR US-4307898-\$.DID. OR US-4449731-\$.DID. OR US-4451059-\$.DID. OR US-4449731-\$.DID. OR US-4451059-\$.DID. OR US-44553772-\$.DID. OR US-44602804-\$.DID. OR US-4553772-\$.DID. OR US-4602804-\$.DID. OR US-4553772-\$.DID. OR US-7887084-\$.DID. OR US-5160159-\$.DID. OR US-7887084-\$.DID.	US-PGPUB; USPAT; USOCR	OR	ON	2011/06/19 13:37
S36	47	("20020101063"   "2676813"   "3578350"	US-PGPUB;	OR	ON	2011/06/19

	Caca	2:15-cv-00121-wks Document 46-6	Eilod 12	/22/16 D	age 94	of 225
		"3606370"   "3610650"   "3620545"   "3695625"   "3727932"   "3734520"   "3734522"   "3773344"   "3825273"   "3865388"   "3897076"   "3902728"   "3995613"   "3909029"   "3936062"   "3992032"   "4052086"   "4065151"   "4070034"   "4111453"   "4183549"   "4264088"   "4266806"   "4268064"   "4286801"   "4288094"   "4288095"   "4294461"   "4307898"   "4429896"   "4444413"   "4449731"   "4451059"   "4466634"   "4475743"   "4489956"   "4553772"   "4602804"   "4625991"   "4753452"   "4758017"   "5160159").PN. OR ("7887084").URPN.	USPAT; USOCR	,20,13	39001	13:48
\$37	160	("3129951"   "3317217"   "3325178"   "3326567"   "3351354"   "3366394"   "3408087"   "3432180"   "3473817"   "3497230"   "3527468"   "3529845"   "3529846"   "3550996"   "3554571"   "3554572"   "3578350"   "3580597"   "3594015"   "3604720"   "3606369"   "3608918"   "3610650"   "3620544"   "3620545"   "3625532"   "3675937"   "3727935"   "3733082"   "3734520"   "3762735"   "3778073"   "3820804"   "3830510"   "3836163"   "3876219"   "3990023"   "3933363"   "3937480"   "3944237"   "3950001"   "3989273"   "4060257"   "4103930"   "4111453"   "4165883"   "4183549"   "4188045"   "44190264"   "4214773"   "4307895"   "4421341"   "4444413"   "4489956"   "4533155"   "4607859"   "4624473"   "4625991"   "4681338"   "4773669"   "5024457"   "RE26670").PN. OR ("3578350"   "3620545"   "3695625"   "3734520"   "4111453"   "4183549"   "4489956"   "4466634"   "4475743"   "4489956"   "4602804"   "4625991"   "4758017"   "5160159").URPN.	US-PGPUB; USPAT; USOCR	OR	O	2011/06/19 14:01
\$38	1	"12984293"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/06/12 13:08
S39	1322	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).COLS.	USPAT	OR	OFF	2012/06/12 14:16
S40	367	S39 and ((horizontal or lateral) with release)	USPAT	OR	OFF	2012/06/12 14:16
S41	245	S39 and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:16
S42	189	S39 and heel and ((horizontal or lateral) adj6 release)	USPAT	OR	OFF	2012/06/12 14:17
S43	2	"20030107352"	US-PGPUB; USPAT; USOCR;	OR	ON	2012/06/14 11:20

 Case 2:15-cv-00121-wks	Document 46-6	Filed 12/23/16	Page 95	of 235
	F	PRS;		
	E	PO; JPO;		
	<b>D</b>	ERWENT;		
	IE	BM_TDB	1	

## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S32	0	((280/632) or (280/634) or (280/618) or (280/624) or (280/625) or (280/626) or (280/627)).CCLS.	UPAD	OR	OFF	2010/09/27 17:13
S33	0	(280/628).CCLS.		OR	OFF	2010/09/27 17:34
S34	322	280/628	USPAT; UPAD	OR	OFF	2010/09/27 17:35

3/25/2013 1:58:23 PM

 $C: \ Users \ bavery \ Documents \ EAST \ Workspaces \ 12984293.wsp$ 

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 96 of 235



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Patendria, Virginia 22313-1450 www.uspto.gov

FILING or GRP ART FIL FEE REC'D 371(c) DATE ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS NUMBER UNIT 12/984,293 01/04/2011 3618 527 2003127.00122US3

23483 WILMERHALE/BOSTON **60 STATE STREET** BOSTON, MA 02109

**CONFIRMATION NO. 2029** CORRECTED FILING RECEIPT



Date Mailed: 02/08/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Richard J. Howell, Stowe, VT;

Applicant(s)

Richard J. Howell, Stowe, VT;

Assignment For Published Patent Application

Kneebinding, Inc., Stowe, VT

Power of Attorney: The patent practitioners associated with Customer Number 23483

Domestic Priority data as claimed by applicant

This application is a CON of 12/001,436 12/11/2007 PAT 7887084 which is a DIV of 10/780.455 02/17/2004 PAT 7318598

which claims benefit of 60/448.645 02/18/2003

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 01/14/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 12/984,293

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

page 1 of 3

Title

ALPINE SKI BINDING HEEL UNIT

**Preliminary Class** 

280

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Docket No.: 2003127.00122US3

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard J. HOWELL Confirmation No.: 2029

Application No.: 12/984,293 Art Unit: 3618

Filed: January 4, 2011 Examiner: B. D. Avery

Title: ALPINE SKI BINDING HEEL UNIT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

Dear Commissioner:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, an annotated copy of which is attached hereto, has an omission in the title. Please correct the title to read:

Alpine Ski Binding Heel Unit

Applicant herewith submits a Supplemental Application Data Sheet correcting the title.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 100 of 235

Application No.: 12/984,293 Docket No.: 2003127.00122US3

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 08-0219, under Order No. 2003127.00122US3 from which the undersigned is authorized to draw.

Respectfully submitted,

Dated: January 29, 2013

/Ronald R. Demsher/
Ronald R. Demsher
Registration No.: 42,478
Attorney for Applicant(s)

Wilmer Cutler Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6000 (telephone) (617) 526-5000 (facsimile)

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 101 of 235

## **COPY**



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS BO. Boy 1450 Alexandric, Vigonia 22313-1450

APPLICATION	FILING or	GRP ART		**************************************	8	
NUMBER	371(e) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/984.293	01/04/2011	3618	527	2003127.001221383	1	1

23483 WILMERHALE/BOSTON 60 STATE STREET BOSTON, MA 02109 CONFIRMATION NO. 2029 UPDATED FILING RECEIPT



Date Mailed: 05/04/2011

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Applicant(s)

Richard J. Howell, Stowe, VT;

**Assignment For Published Patent Application** 

Kneebinding, Inc., Stowe, VT

Power of Attorney: The patent practitioners associated with Customer Number 23483

Domestic Priority data as claimed by applicant

This application is a CON of 12/001,436 12/11/2007 PAT 7,887,084 which is a DIV of 10/780,455 02/17/2004 PAT 7,318,598 which claims benefit of 60/448,645 02/18/2003

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 01/14/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/984.293** 

Projected Publication Date: 08/11/2011

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

page 1 of 3

## **COPY**

Title

ALPINE SKI BINDING HEEL UNIT

**Preliminary Class** 

280

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## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 103 of 235 COPY

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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## Supplemental Application Data Sheet

Ann	lication	Inform	ation
MUU	lication	IIIIOIIII	auvii

Application number:: 12/984,293

Filing Date:: 01/04/11

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit:: 3618

CD-ROM or CD-R?:: None

Number of CD disks::

Number of copies of CDs::

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Number of copies of CRF::

Title:: ALPINE SKI BINDING HEEL

ALPINE SKI BINDING HEEL UNIT

Attorney Docket Number:: 2003127.00122US3

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 1

Total Drawing Sheets:: 4

Small Entity?:: Yes

Petition included?:: No

Page # 1 Supplemental 12984293 01/04/2011 01/29/2013

## Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 105 of 235

Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers::	
Secrecy Order in Parent Appl.?::	No
Applicant Information	
Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Richard
Middle Name::	J.
Family Name::	HOWELL
Name Suffix::	
City of Residence::	Stowe
State or Province of Residence::	VT
Country of Residence::	US
Street of mailing address::	782 South Main Street
City of mailing address::	Stowe
State or Province of mailing address::	VT
Country of mailing address::	
Postal or Zip Code of mailing address::	05672

Page # 2 Supplemental 12984293 01/04/2011 01/29/2013

**Correspondence Information** 

Correspondence Customer Number:: 23483

Representative Information

Representative Customer Number:: 23483

## **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	12/001436	12/11/07
12/001436	Division of	10/780455	02/17/04
10/780455	An application claiming the benefit under 35 USC 119(e)	60/448645	02/18/03

**Foreign Priority Information** 

**Assignee Information** 

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.				
Signature	/Ronald R. Demsher/	Date	January 29, 2013	
Name (Print/Type)	Ronald R. Demsher	Registration No. (Attorney/Agent)	42,478	

Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 108 of 235  Electronic Acknowledgement Receipt				
EFS ID:	14820249			
Application Number:	12984293			
International Application Number:				
Confirmation Number:	2029			
Title of Invention:	ALPINE SKI BINDING HEEL			
First Named Inventor/Applicant Name:	Richard J. Howell			
Customer Number:	23483			
Filer:	Ronald R. Demsher./Becky Douglas			
Filer Authorized By:	Ronald R. Demsher.			
Attorney Docket Number:	2003127.00122US3			
Receipt Date:	29-JAN-2013			
Filing Date:	04-JAN-2011			
Time Stamp:	15:51:25			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	no		
File Listing:			
Document	File Size(Butes)/	Multi	Dagos

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Corrected Filing Receipt	2013_01_29_122US3_Request_	1	no	2
		CorrFR_USSN_12_984293.PDF	76e1cafe0287b89202791ebabe2ebc63f876 af72		
Warnings:					
Information:					

Marker Volkl-1010 Marker Volkl USA, Inc. v. Kneebinding, Inc. Page 310

	Case 2:15-cv-00121-wks Do	cument 46-6 Filed 12/2	23/16 Page 109 0	of 235	
2	Request for Corrected Filing Receipt	2013_01_29_122US3_Annotat	3403404	no	3
	3796.1 Marinin PC 33302 VPPPRS3304PS-789-782737 (#700207327)	ed_FR_USSN_12_984293.PDF	f71b3e635ecd3a32171611b59805ec9142f9 da65	51,3759 7	
Warnings:			*		
Information		7			
3	Application Data Sheet	2013_01_29_122US3_Supplem entalADS_USSN_12_984293.	60914	no	4
ž	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	555	4b1784b2f6d0be62698a377dbbf83c80051 8eb1c		
Warnings:					
Information	:				
This is not an U	JSPTO supplied ADS fillable form				
		Total Files Size (in bytes)	354	13541	

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 110 of 235 PTO/SB/30EFS (07-09)

Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	12984293	Filing Date	2011-01-04	Docket Number (if applicable)	2003127.00122US3	Art Unit	3618
First Named Inventor	Richard J. HOWE	LL		Examiner Name	B. D. Avery		
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV						prior to June 8,	
		S	UBMISSION REG	QUIRED UNDER 37	CFR 1.114		
Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).							
	y submitted. If a fin on even if this box i			, any amendments file	d after the final Office action m	ay be con	sidered as a
□ 00	nsider the argumer	nts in the A	ppeal Brief or Repl	y Brief previously filed	on		
Oti	her						
X Enclosed	Ĺ						
X Ar	nendment/Reply						
Inf	ormation Disclosure	e Statemer	nt (IDS)				
Affidavit(s)/ Declaration(s)							
X Ot		Three Mont	h Extension of Tim	e			<u> </u>
MISCELLANEOUS							
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)							
☐ Other							
FEES							
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No080219							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
Patent Practitioner Signature  Applicant Signature							

Doc code: RCEX Case 2:15-cv-00121-wks Document 46-6 Filed 12/23/16 Page 111 of 235 PTO/SB/30EFS (07-09)

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Signature of Registered U.S. Patent Practitioner				
Signature	/Ronald R. Demsher/	Date (YYYY-MM-DD)	2013-01-09	
Name	Ronald R. Demsher	Registration Number	42478	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## 

PTO/SB/22 (10-12)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136	(a)	Docket Number (Optional) 2003127.00122US3			
Application Number 12/984,293-Conf. #2029	Filed	Filed January 4, 2011			
For ALPINE SKI BINDING HEEL					
Art Unit 3618	Examiner	B. D. Avery			
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified applications.					
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):					
<u>Fee</u>	Small Entity Fee				
One month (37 CFR 1.17(a)(1)) \$150	\$75	\$			
Two months (37 CFR 1.17(a)(2)) \$570	\$285	\$			
x Three months (37 CFR 1.17(a)(3)) \$1,290	\$645	\$ 645.00			
Four months (37 CFR 1.17(a)(4)) \$2,010	\$1,005	\$			
Five months (37 CFR 1.17(a)(5)) \$2,730	\$1,365	\$			
X Applicant claims small entity status. See 37 CFR 1.27.					
A check in the amount of the fee is enclosed.					
X Payment by credit card. Form PTO-2038 is attached.					
The Director has already been authorized to charge fees in this	application to a Deposit Ac	count.			
X The Director is hereby authorized to charge any fees which may Deposit Account Number 08-0219 .		59 MA			
X Payment made via EFS-Web.					
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
I am the					
applicant/inventor.					
assignee of record of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) statement is enclosed (Form PTO/SB/96).					
x attorney or agent of record. Registration Number 42,478					
attorney or agent under 37 CFR 1.34. Registration number					
/Ronald R. Demsher/ January 9, 2013					
Signature Date					
Ronald R. Demsher		(617) 526-6000			
Typed or printed name	Several State Control of Several	one Number			
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.					
*Total of1 forms are submitted.					

Docket No.: 2003127.00122US3

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard J. HOWELL Confirmation No.: 2029

Application No.: 12/984,293 Art Unit: 3618

Filed: January 4, 2011 Examiner: B. D. Avery

Title: ALPINE SKI BINDING HEEL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CONTINUED EXAMINATION PURSUANT TO 37 C.F.R. 1.114 AND AMENDMENT

Dear Madam:

## INTRODUCTORY COMMENTS

In response to the Final Office Action dated July 9, 2012, finally rejecting claims 1-9. Applicant respectfully request entry of this Amendment that accompanies a Request for Continued Examination with a three-month extension of time attached herewith. Please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.

ActiveUS 104584556v.1

Application No. 12/984,293 Amendment dated January 9, 2013 After Final Office Action of July 9, 2012

### AMENDMENTS TO THE CLAIMS

 (Previously presented) A vector decoupling assembly for separating and isolating two or more force vectors applied to a safety binding securing a heel portion of a ski boot to a ski, comprising:

a lower heel assembly attached to the ski;

an upper heel assembly coupled to the lower heel assembly and having a lateral release assembly for applying lateral securing pressure to the ski boot;

a linkage element fixedly attached to the lateral release assembly; wherein the linkage element, a first surface and a second surface cooperate to limit motion of the lateral release assembly to within a predetermined region within a plane defined by the longitudinal and horizontal axes of the ski.

- (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface are substantially parallel to one another.
- 3. (Previously presented) The vector decoupling assembly of claim 1, wherein the first surface and the second surface cooperate to limit motion of the linkage element to the longitudinal and horizontal plane of the ski.
- 4. (Currently amended) The vector decoupling assembly of claim 1, wherein the lateral release assembly is maintained in a predetermined neutral position in the absence the of force vectors applied to the vector decoupling assembly.
- 5. (Previously presented) The vector decoupling assembly of claim 4, wherein the lateral release assembly moves in both a first direction and a second direction with respect to the neutral position.
- 6. (Previously presented) The vector decoupling assembly of claim 5, wherein the motion of

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ActiveUS 104584556v.1

Docket No.: 2003127.00122US3

Application No. 12/984,293

Amendment dated January 9, 2013

After Final Office Action of July 9, 2012

the lateral release assembly is at least partially rotational.

7. (Previously presented) The vector decoupling assembly of claim 5, wherein a force required

to move the lateral release assembly increases as the lateral release assembly moves away from the

neutral position.

8. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is linear.

9. (Previously presented) The vector decoupling assembly of claim 7, wherein a relationship

between a position of the lateral release assembly with respect to the neutral position and the force

required to move the lateral release assembly is non-linear.

10. (Withdrawn) The vector decoupling assembly of claim 4, wherein the lateral release

assembly moves only in a first direction with respect to the neutral position.

11. (Withdrawn) The vector decoupling assembly of claim 10, wherein the motion of the lateral

release assembly is at least partially rotational.

12. (Withdrawn) The vector decoupling assembly of claim 10, wherein a force required to move

the lateral release assembly increases as the lateral release assembly moves away from the neutral

position.

13. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a

position of the lateral release assembly with respect to the neutral position and the force required to

move the lateral release assembly is linear.

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ActiveUS 104584556v.1

Marker Volkl-1010
Marker Volkl USA, Inc. v. Kneebinding, Inc.

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Application No. 12/984,293 Amendment dated January 9, 2013 After Final Office Action of July 9, 2012

14. (Withdrawn) The vector decoupling assembly of claim 12, wherein a relationship between a position of the lateral release assembly with respect to the neutral position and the force required to move the lateral release assembly is non-linear.

Docket No.: 2003127.00122US3

Application No. 12/984,293 Amendment dated January 9, 2013 After Final Office Action of July 9, 2012

## REMARKS

This paper is responsive to the Office Action dated July 9, 2012. By this paper, claim 4 has been amended, and claims 9 through 14 have been withdrawn. No new matter has been added.

At paragraph 2 of the Office Action, the Examiner withdraws claims 10-14 as being directed to a non-elected invention. Accordingly, the Applicant marks claims 10-14 as withdrawn. Applicant intends to pursue those claims in a Divisional Application.

At paragraph 3 of the Office Action, the Examiner rejects claims 4-9 under 35 U.S.C. §112, second paragraph, as being indefinite. The Applicant amends claim 4 to recite "... in the absence of force vectors ..." rather than "... in the absence the force vectors ..." as the Examiner suggests. Accordingly, that rejection should be withdrawn.

At paragraph 5 of the Office Action, the Examiner rejects claims 1-9 under 35 U.S.C. §102(b) as anticipated by U.S. Patent No. 4,858,946 to Stritzl et al. (hereinafter 'Stritzl'). The Applicant disagrees with the Examiner for at least the following reasons.

- 1. Stritzl does not teach or suggest the claim 1 element, "an upper heel assembly . . . having a lateral release assembly for applying lateral securing pressure to the ski boot" as the Examiner asserts. The Examiner indicates that the locking pin 15a of Stritzl teaches the lateral release assembly, which applies lateral pressure to the ski boot via spring 21 and tread spur 7. However, as Stritzl figures 1 and 2 and col. 3 lines 39 to 44 show, the tread spur 7 does not include any side elements that would enable applying lateral securing pressure to the ski boot. The tread spur 7 is constructed and arranged to provide securing pressure to the back of the ski boot rather than to the sides. (See, e.g., "The tread spur 7 is designed in a known manner to enable the sole support 6 to swing upward in the case of a rearward torsion fall." 3:39-41, emphasis added).
- 2. Stritzl does not teach or suggest a linkage element, a first surface and a second surface cooperate to limit motion of the lateral release assembly, as claim 1 requires. The

Docket No.: 2003127.00122US3